



07/25/2006 10:20 FAX 7136234846

002/003

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<u>Walter C. Grollitsch</u>	(Depositor's name)
<u>Walter C. Grollitsch</u>	(Signature)
<u>25 July 2006</u>	(Date)

47389 7590 04/25/2006
PATTERSON & SHERIDAN, LLP
3040 POST OAK BLVD
SUITE 1500
HOUSTON, TX 77095
07/25/2006 HDEHSS2 00000013 200782 10661452
01 FC:1501 1400.00 DA

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/661,452

09/12/2003

Yongsheng Liu

AVA-2013

7807

TITLE OF INVENTION: APPARATUS AND SYSTEM FOR A RE-CONFIGURABLE CHANNEL DROPPING DE-MULTIPLEXER

AVA-00110

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	07/25/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
BOUTSIKARIS, LEONIDAS	2872	359-484000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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1 Patterson & Sheridan, LLP
2 _____
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Avanex Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Fremont, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

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☐ Publication Fee (No small entity discount permitted)
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☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 20-0782 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Walter C. Grollitsch

Date

25 July 2006

Typed or printed name

Walter C. Grollitsch

Registration No.

48678

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FACSIMILE COVER SHEET

DATE: *July 25, 2006*
FILE NO: AVAN/001110
TO: MAIL STOP ISSUE FEE
COMMISSIONER FOR PATENTS
Examiner: Leonidas Boutsikaris
Group Art: 2872

FAX NO: 571-273-2885

COMPANY: USPTO

FROM: Walter C. Grollitsch

PAGE(S) with cover: 3

ORIGINAL TO FOLLOW? ☐ YES ☒ NO

ISSUE FEE TRANSMITTAL

TITLE: APPARATUS AND SYSTEM FOR A RE-CONFIGURABLE
CHANNEL DROPPING DE-MULTIPLEXER
U.S. SERIAL NO.: 10/661,452
CUSTOMER NO.: 47389
FILING DATE: September 12, 2003
INVENTOR: Yongsheng Liu
EXAMINER: Leonidas Boutsikaris
CONFIRMATION NO.: 7807

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